

10/521 780

DT15 C'd PCT/PTO 21 JAN 2005

Docket No. 264857US0PCT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tadayoshi IIJIMA

SERIAL NO: New U.S. PCT Application Based on PCT/JP03/09216

GAU:

FILED: Herewith

EXAMINER:

FOR: FUNCTIONAL FILM FOR TRANSFER HAVING FUNCTIONAL LAYER, OBJECT FURNISHED WITH
FUNCTIONAL LAYER AND PROCESS FOR PRODUCING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Surinder Sachar

Norman F. Oblon
Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar
Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 264857US0PCT		SERIAL NO. 152178 New U.S. PCT Application Based on PCT/JP03/09216	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Tadayoshi IIJIMA			
				FILING DATE Herewith		GROUP	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AM	0 982 150	03/01/00	EP (equivalent of US 6346316)			NO
	AN	0 917 964	05/26/99	EP (equivalent of US 6043194)			NO
	AO	11-302614	11/02/99	JP			NO
	AP	00/18848	04/06/00	WO (with English abstract & equivalent of EP 1154002)			NO
	AQ	1 097 977	05/09/01	EP			NO
	AR	02/33017	04/25/02	WO			NO
	AS	0 479 223	04/08/92	EP (equivalent of US 5234734)			NO
	AT	8-199096	08/06/96	JP			NO
	AU	6-103839	04/15/94	JP			NO
	AV	01/87590	11/22/01	WO (with English abstract & equivalent of US 6797210 & EP 1225034)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		

New U.S. PCT Application Based on PCT/JP03/09216
Docket No.: 264857US0PCT

STATEMENT OF RELEVANCY

- 1) References AM-AS have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AT-AV are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.